



PATENT
Attorney Docket No. BSI-036

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Marshik et al. CONFIRMATION NO. 8628
SERIAL NO.: 10/795,966 GROUP NO.: 3634
FILING DATE: March 8, 2004 EXAMINER: Strimbu, Gregory J.
TITLE: Balance Shoe for Tilt-In Window Sashes

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with 37 C.F.R. 1.98(a)(2), only copies of the foreign patent documents and non-patent publications are enclosed. Reference C4 consists of two photographs of a balance shoe manufactured by the Amesbury Group, Inc., the Assignee of this application. The balance shoe depicted in reference C4 is an alternative embodiment of a balance shoe originally made of record in reference C1, filed with the Information Disclosure Statement on June 23, 2004.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☐ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☒ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☒ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

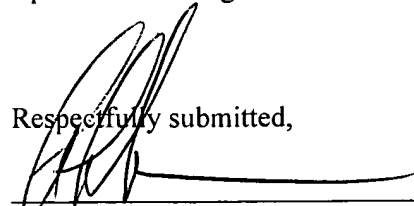
It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that **[check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]**:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,



Andrew L. Jagenow
Attorney for Applicants
Goodwin Procter LLP
Exchange Place
Boston, Massachusetts 02109

Date: August 18, 2006
Reg. No. 51,842

Tel. No.: (617) 570-1905
Fax No.: (617) 523-1231
Customer No. 051414
LIBA/1723464.1



FORM PTO - 1449 FOURTH INFORMATION DISCLOSURE STATEMENT				ATTORNEY DOCKET NO.: BSI-036 APPLICANT(S): Marshik et al. SERIAL NO.: 10/795,966 FILING DATE: March 8, 2004 GROUP: 3634					
U.S. PATENT DOCUMENTS									
EXAM INIT.		DOCUMENT NUMBER	DATE	NAME		CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
FOREIGN PATENT DOCUMENTS									
EXAM INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
OTHER ART, JOURNAL ARTICLES, ETC.									
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
	C4	Two photographs of a balance shoe manufactured by Amesbury Group, Inc.							
EXAMINER					DATE CONSIDERED				